



## 美国税收协定优惠 W-8BEN (个人) 表格 W-8BEN (个人) 表格填写说明

**请注意：尽管本说明以中文提供，W-8BEN 申报表仅接受英文填写**

1. 投资美股 CFD 的客户需填写本表
2. 该 W-8Ben 表格仅适用于非美国人士填写
3. 该表格有效期为自签署之日起至第 3 年最后一个日历日
4. 当阁下的税务信息变更时阁下需主动向我们申报以变更税务信息
5. 该表格 Part I&II 允许电子填写，Part III 仅接受手写签名或有时间、日期及电子签声明的电子签名
6. 该表格不可有任何涂改或修正，如需修改已填写信息，请使用新的表格
7. 日期格式为美式日期格式，即 (MM-DD-YYYY)
8. Line 5 非美国人士无需填写
9. Line 6 若阁下有澳洲税务居民请勾选 6b 框
10. Line 9 澳洲税务居民请填写入 "Australia"; 阁下可参阅 ATO 网站以确认阁下的税务居民身份

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<p>Form <b>W-8BEN</b> (Rev. October 2021) Department of the Treasury Internal Revenue Service</p>	<p><b>Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)</b></p> <p>► For use by individuals. Entities must use Form W-8BEN-E. ► Go to <a href="http://www.irs.gov/FormW8BEN">www.irs.gov/FormW8BEN</a> for instructions and the latest information. ► Give this form to the withholding agent or payer. Do not send to the IRS.</p>	<p>OMB No. 1545-1621</p>
<p><b>Do NOT use this form if:</b></p> <ul style="list-style-type: none"> <li>• You are NOT an individual . . . . . W-8BEN-E</li> <li>• You are a U.S. citizen or other U.S. person, including a resident alien individual . . . . . W-9</li> <li>• You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the United States (other than personal services) . . . . . W-8ECI</li> <li>• You are a beneficial owner who is receiving compensation for personal services performed in the United States . . . . . 8233 or W-4</li> <li>• You are a person acting as an intermediary . . . . . W-8IMY</li> </ul> <p><b>Note:</b> If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.</p>		
<p><b>Part I Identification of Beneficial Owner (see instructions)</b></p>		
<p><b>1</b> Name of individual who is the beneficial owner</p> <p>阁下姓名</p>		<p><b>2</b> Country of citizenship</p> <p>国籍</p>
<p><b>3</b> Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.</p> <p>居住地址</p> <p>City or town, state or province. Include postal code where appropriate.</p>		
<p><b>城市</b></p>		<p>Country</p> <p>国家</p>
<p><b>4</b> Mailing address (if different from above)</p> <p>(阁下通讯地址，若与居住地址不同)</p> <p>City or town, state or province. Include postal code where appropriate.</p>		
<p><b>城市</b></p>		<p>Country</p> <p>国家</p>
<p><b>5</b> U.S. taxpayer identification number (SSN or ITIN, if required (see instructions))</p>		
<p><b>6a</b> Foreign tax identifying number (see instructions)</p>	<p><b>6b</b> Check if FTIN not legally required . . . . . <input checked="" type="checkbox"/></p>	
<p><b>7</b> Reference number(s) (see instructions)</p>	<p><b>8</b> Date of birth (MM-DD-YYYY) (see instructions)</p> <p style="text-align: center;">12-31-1990</p>	



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**Part II Claim of Tax Treaty Benefits** (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of Australia within the meaning of the income tax treaty between the United States and that country.

10 **Special rates and conditions** (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph \_\_\_\_\_ of the treaty identified on line 9 above to claim a \_\_\_\_\_ % rate of withholding on (specify type of income): \_\_\_\_\_.

Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: \_\_\_\_\_.

**Part III Certification**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
  - (a) income not effectively connected with the conduct of a trade or business in the United States;
  - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
  - (c) the partner's share of a partnership's effectively connected taxable income; or
  - (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

Sign Here

I certify that I have the capacity to sign for the person identified on line 1 of this form.

依照美国税务局要求，本表格仅接受手写签名或带有时  
间、日期及电子签名声明格式的电子签名。

Quan Yuan

Digitally signed by Quan Yuan  
Date: 2021.10.20 16:31:57 +11'00'

Signature of beneficial owner (or individual authorized to sign for beneficial owner)

10-31-2021

Date (MM-DD-YYYY)

Quan Yuan

Print name of signer

For Paperwork Reduction Act Notice, see separate instructions.

Cat. No. 25047Z

Form **W-8BEN** (Rev. 10-2021)

Please note that you have an obligation under US tax laws to provide information necessary for US tax withholding and reporting requirements. This information is for references purposes only, and reliance on the information contained herein is not an alternative to legal advice from an attorney or other professional legal/accounting services provider. This information is not intended as a financial or an investment advice and must not be construed as such. Seek advice from a separate financial advisor or contact IRS. You are advised to view the IRS instructions on completing these forms go to [www.irs.gov/formw8ben](http://www.irs.gov/formw8ben). AETOS assumes no liability for errors, inaccuracies or omissions; does not warrant the accuracy, completeness of the information or other items contained within these materials.

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