

W-8BEN ENTITY FORM TO CLAIM US TAX TREATY BENEFITS

HOW TO COMPLETE THE FORM W-8BEN-E (COMPANY)

Please note:

1. This guidance is only applicable when the company is satisfied following two conditions:
 - 1) At least 50% (by vote or value) of the company is held by Australian and/or U.S. persons;
 - 2) at least 50% of the gross income the company is being paid to Australian and/or U.S. persons as deductible payments.
 2. The U.S. share CFD investor, who is not a U.S. person, should complete the form.
 3. The form should be completed by the director of the company.
 4. The form W-8BEN-E will be valid for a period starting on the date the form is signed and ending on the last date of the third succeeding calendar year.
 5. Should any changes happen to your tax residency after the submission of the form, please declare the change to AETOS.
 6. By following the requirement by the U.S. authority, the form should be completed with a handwritten signature, otherwise an electronic signature with a time and date stamp and the statement that the form has been signed electronically is also acceptable.
 7. The form must be completed correctly without any amendments. Please use a new form instead if a mistake made.
 8. All DATE should be put down in U.S. date format (MM-DD-YYYY).
-

Step 1: Determine the Chapter 4 Status (Line 5) for your company

1) Is your company a Not For Profit (NFP)?

YES – check the box “Nonprofit organization” in line 5 and complete Part XXII as per request

No – refer for Q(2);

2) Does your company perform business other than specified investments or personal services business?

YES – check the box “Passive NFFE” in line 5

No – refer to Q(3)

3) Were 50% or more of all assets held as passive investment assets (e.g. dividend/interests/rent/royalties)?

YES – check the box “Passive NFFE” in line 5

No – refer to (Q4)

4) Was 50% or more of the company's gross income derived from passive investments (e.g. dividends/interests/rent/royalties)?

YES – check the box “Passive NFFE” in line 5

No – check the box “Active NFFE” in line 5

Definition:

Active NFFE – When at least 50% of the gross income of a company is derived from its primary line of business;

Passive NFFE: When at least 50% of the gross income of a company is from passive sources of income and not from their direct line of business.

Passive sources of income include dividends, interests, rent, royalties and annuities etc.

(Continued on the next page)

Step 2 Company – Passive Non-Financial Foreign Entity (NFFE)

• Page 1 – Part I

501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions for other exceptions) W-8ECI or W-8EXP
 • Any person acting as an intermediary (including a qualified intermediary acting as a qualified derivatives dealer) W-8IMY

Part I Identification of Beneficial Owner

1 Name of organization that is the beneficial owner Full name of company	2 Country of incorporation or organization Country name																																						
3 Name of disregarded entity receiving the payment (if applicable, see instructions)																																							
4 Chapter 3 Status (entity type) (Must check one box only): <table border="0"> <tr> <td><input type="checkbox"/> Simple trust</td> <td><input type="checkbox"/> Tax-exempt organization</td> <td><input checked="" type="checkbox"/> Corporation</td> <td><input type="checkbox"/> Partnership</td> </tr> <tr> <td><input type="checkbox"/> Central Bank of Issue</td> <td><input type="checkbox"/> Private foundation</td> <td><input type="checkbox"/> Complex trust</td> <td><input type="checkbox"/> Foreign Government - Controlled Entity</td> </tr> <tr> <td><input type="checkbox"/> Grantor trust</td> <td><input type="checkbox"/> Disregarded entity</td> <td><input type="checkbox"/> Estate</td> <td><input type="checkbox"/> Foreign Government - Integral Part</td> </tr> <tr> <td colspan="4"><input type="checkbox"/> International organization</td> </tr> </table>		<input type="checkbox"/> Simple trust	<input type="checkbox"/> Tax-exempt organization	<input checked="" type="checkbox"/> Corporation	<input type="checkbox"/> Partnership	<input type="checkbox"/> Central Bank of Issue	<input type="checkbox"/> Private foundation	<input type="checkbox"/> Complex trust	<input type="checkbox"/> Foreign Government - Controlled Entity	<input type="checkbox"/> Grantor trust	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Estate	<input type="checkbox"/> Foreign Government - Integral Part	<input type="checkbox"/> International organization																									
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If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes," complete Part III. <input type="checkbox"/> Yes <input type="checkbox"/> No																																							
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Complete Part XV.</td> </tr> <tr> <td><input type="checkbox"/> Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions.</td> <td><input type="checkbox"/> Entity wholly owned by exempt beneficial owners. Complete Part XVI.</td> </tr> <tr> <td><input type="checkbox"/> Sponsored FFI. Complete Part IV.</td> <td><input type="checkbox"/> Territory financial institution. Complete Part XVII.</td> </tr> <tr> <td><input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V.</td> <td><input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVIII.</td> </tr> <tr> <td><input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. Complete Part VI.</td> <td><input type="checkbox"/> Excepted nonfinancial start-up company. 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6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address). Registered Address City or town, state or province. Include postal code where appropriate. Country																																							
7 Mailing address (if different from above) Postal address City or town, state or province. Include postal code where appropriate. Country																																							

• Page 2 – Part 1

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Part I Identification of Beneficial Owner (continued)

8 U.S. taxpayer identification number (TIN), if required		
9a GIIN	b Foreign TIN ABN no.	c Check if FTIN not legally required. <input type="checkbox"/>
10 Reference number(s) (see instructions)		
AETOS acct no.		

Note: Please complete remainder of the form including signing the form in Part XXX.

(Continued on the next page)

Step 2 Company – Passive Non-Financial Foreign Entity (NFFE)

• Page 2 – Part III

Part III Claim of Tax Treaty Benefits (if applicable). (For chapter 3 purposes only.)

14 I certify that (check all that apply):

a ☒ The beneficial owner is a resident of country name within the meaning of the income tax treaty between the United States and that country.

b ☒ The beneficial owner derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits. The following are types of limitation on benefits provisions that may be included in an applicable tax treaty (check only one; see instructions):

☐ Government ☒ Company that meets the ownership and base erosion test

☐ Tax-exempt pension trust or pension fund ☐ Company that meets the derivative benefits test

☐ Other tax-exempt organization ☐ Company with an item of income that meets active trade or business test

☐ Publicly traded corporation ☐ Favorable discretionary determination by the U.S. competent authority received

☐ Subsidiary of a publicly traded corporation ☐ No LOB article in treaty

☐ Other (specify Article and paragraph): _____

c ☐ The beneficial owner is claiming treaty benefits for U.S. source dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation and meets qualified resident status (see instructions).

15 **Special rates and conditions** (if applicable—see instructions):
The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 14a above to claim a _____ % rate of withholding on (specify type of income): _____
Explain the additional conditions in the Article the beneficial owner meets to be eligible for the rate of withholding: _____

• Page 7 – Part XXVI

If a U.S. Person directly or indirectly owns more than 10% interest (by vote or value) in the company.

- No - 40 b
- Yes - 40 c

Part XXVI Passive NFFE

40a ☒ I certify that the entity identified in Part I is a foreign entity that is not a financial institution (other than an investment entity organized in a possession of the United States) and is not certifying its status as a publicly traded NFFE (or affiliate), excepted territory NFFE, active NFFE, direct reporting NFFE, or sponsored direct reporting NFFE.

Check box 40b or 40c, whichever applies.

b ☐ I further certify that the entity identified in Part I has no substantial U.S. owners (or, if applicable, no controlling U.S. persons); or

c ☐ I further certify that the entity identified in Part I has provided the name, address, and TIN of each substantial U.S. owner (or, if applicable, controlling U.S. person) of the NFFE in Part XXIX.

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• Page 8 – Part XXX

Signed by the authorized representative(s) of the company

Please note: If you are unable to save an E-sign with a time&date stamp and the E-sign statement, the U.S. IRS requests a handwritten signature for the form instead.

Part XXX Certification


Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is the beneficial owner of all the income or proceeds to which this form relates, is using this form to certify its status for chapter 4 purposes, or is submitting this form for purposes of section 6050W or 6050Y;
- The entity identified on line 1 of this form is not a U.S. person;
- This form relates to: (a) income not effectively connected with the conduct of a trade or business in the United States, (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an income tax treaty, (c) the partner's share of a partnership's effectively connected taxable income, or (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f); and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

☒ I certify that I have the capacity to sign for the entity identified on line 1 of this form.

Sign Here  Digitally signed by Quan Yuan
Date: 2021.11.04 11:53:59 +11'00' Quan Yuan

Signature of individual authorized to sign for beneficial owner Print Name 11-04-2021
Date (MM-DD-YYYY)

Form **W-8BEN-E** (Rev. 10-2021)

Step 2 Company – Active Non-Financial Foreign Entity (NFFE)

• Page 1 – Part 1

Any person acting as an intermediary (including a qualified intermediary acting as a qualified derivatives dealer) W-8IMY

Part I Identification of Beneficial Owner	
1 Name of organization that is the beneficial owner Full name of company	2 Country of incorporation or organization Country name
3 Name of disregarded entity receiving the payment (if applicable, see instructions)	
4 Chapter 3 Status (entity type) (Must check one box only): <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Simple trust <input type="checkbox"/> Central Bank of Issue <input type="checkbox"/> Grantor trust <input type="checkbox"/> Tax-exempt organization <input type="checkbox"/> Private foundation <input type="checkbox"/> Disregarded entity <input type="checkbox"/> Complex trust <input type="checkbox"/> Estate <input type="checkbox"/> International organization </div> <div> <input type="checkbox"/> Partnership <input type="checkbox"/> Foreign Government - Controlled Entity <input type="checkbox"/> Foreign Government - Integral Part </div> </div>	
If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes," complete Part III. <input type="checkbox"/> Yes <input type="checkbox"/> No	
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For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 59689N Form W-8BEN-E (Rev. 10-2021)

• Page 2 – Part 1

Form W-8BEN-E (Rev. 10-2021) Page 2

Part I Identification of Beneficial Owner (continued)		
8 U.S. taxpayer identification number (TIN), if required		
9a GIIN	b Foreign TIN ABN no.	c Check if FTIN not legally required. <input type="checkbox"/>
10 Reference number(s) (see instructions) AETOS acct no.		

Note: Please complete remainder of the form including signing the form in Part XXX.

(Continued on the next page)

Step 2 Company – Active Non-Financial Foreign Entity (NFFE)

• Page 2 – Part III

Part III Claim of Tax Treaty Benefits (if applicable). (For chapter 3 purposes only.)

14 I certify that (check all that apply):

a ☒ The beneficial owner is a resident of country name within the meaning of the income tax treaty between the United States and that country.

b ☒ The beneficial owner derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits. The following are types of limitation on benefits provisions that may be included in an applicable tax treaty (check only one; see instructions):

☐ Government ☒ Company that meets the ownership and base erosion test

☐ Tax-exempt pension trust or pension fund ☐ Company that meets the derivative benefits test

☐ Other tax-exempt organization ☐ Company with an item of income that meets active trade or business test

☐ Publicly traded corporation ☐ Favorable discretionary determination by the U.S. competent authority received

☐ Subsidiary of a publicly traded corporation ☐ No LOB article in treaty

☐ Other (specify Article and paragraph): _____

c ☐ The beneficial owner is claiming treaty benefits for U.S. source dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation and meets qualified resident status (see instructions).

15 **Special rates and conditions** (if applicable—see instructions):

The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 14a above to claim a _____ % rate of withholding on (specify type of income): _____

Explain the additional conditions in the Article the beneficial owner meets to be eligible for the rate of withholding: _____

• Page 7 - Part XXV

Part XXV Active NFFE

39 ☒ I certify that:

- The entity identified in Part I is a foreign entity that is not a financial institution;
- Less than 50% of such entity's gross income for the preceding calendar year is passive income; and
- Less than 50% of the assets held by such entity are assets that produce or are held for the production of passive income (calculated as a weighted average of the percentage of passive assets measured quarterly) (see instructions for the definition of passive income).

• Page 8 – Part XXX

Signed by the authorized representative(s) of the company

Please note: If you are unable to save an E-sign with a time&date stamp and the E-sign statement, the U.S. IRS requests a handwritten signature for the form instead.

Part XXX Certification


Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is the beneficial owner of all the income or proceeds to which this form relates, is using this form to certify its status for chapter 4 purposes, or is submitting this form for purposes of section 6050W or 6050Y;
- The entity identified on line 1 of this form is not a U.S. person;
- This form relates to: (a) income not effectively connected with the conduct of a trade or business in the United States, (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an income tax treaty, (c) the partner's share of a partnership's effectively connected taxable income, or (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f); and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

☒ I certify that I have the capacity to sign for the entity identified on line 1 of this form.

Sign Here  Digitally signed by Quan Yuan
Date: 2021.11.04 11:53:59 +11'00' Quan Yuan Print Name

11-04-2021 Date (MM-DD-YYYY)

Form **W-8BEN-E** (Rev. 10-2021)

Step 2 Company – Not for Profit (NFP)

• Page 1 – Part I

Part I Identification of Beneficial Owner	
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4 Chapter 3 Status (entity type) (Must check one box only) <div> <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Simple trust <input type="checkbox"/> Tax-exempt organization <input type="checkbox"/> Complex trust <input type="checkbox"/> Foreign Government - Controlled Entity <input type="checkbox"/> Central Bank of Issue <input type="checkbox"/> Private foundation <input type="checkbox"/> Estate <input type="checkbox"/> Foreign Government - Integral Part <input type="checkbox"/> Grantor trust <input type="checkbox"/> Disregarded entity <input type="checkbox"/> International organization </div>	
If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes," complete Part III. <input type="checkbox"/> Yes <input type="checkbox"/> No	
5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.) <div> <input type="checkbox"/> Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner). <input type="checkbox"/> Nonreporting IGA FFI. Complete Part XII. <input type="checkbox"/> Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII. <input type="checkbox"/> Participating FFI. <input type="checkbox"/> International organization. Complete Part XIV. <input type="checkbox"/> Reporting Model 1 FFI. <input type="checkbox"/> Exempt retirement plans. Complete Part XV. <input type="checkbox"/> Reporting Model 2 FFI. <input type="checkbox"/> Entity wholly owned by exempt beneficial owners. Complete Part XVI. <input type="checkbox"/> Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions. <input type="checkbox"/> Territory financial institution. Complete Part XVII. <input type="checkbox"/> Sponsored FFI. Complete Part IV. <input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVIII. <input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V. <input type="checkbox"/> Excepted nonfinancial start-up company. Complete Part XIX. <input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. Complete Part VI. <input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX. <input type="checkbox"/> Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII. <input type="checkbox"/> 501(c) organization. Complete Part XXI. <input checked="" type="checkbox"/> Nonprofit organization. Complete Part XXII. <input type="checkbox"/> Certified deemed-compliant limited life debt investment entity. Complete Part VIII. <input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII. <input type="checkbox"/> Certain investment entities that do not maintain financial accounts. Complete Part IX. <input type="checkbox"/> Excepted territory NFFE. Complete Part XXIV. <input type="checkbox"/> Owner-documented FFI. Complete Part X. <input type="checkbox"/> Active NFFE. Complete Part XXV. <input type="checkbox"/> Restricted distributor. Complete Part XI. <input type="checkbox"/> Passive NFFE. Complete Part XXVI. <input type="checkbox"/> Excepted inter-affiliate FFI. Complete Part XXVII. <input type="checkbox"/> Direct reporting NFFE. <input type="checkbox"/> Sponsored direct reporting NFFE. Complete Part XXVIII. <input type="checkbox"/> Account that is not a financial account. </div>	
6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address). Registered Address City or town, state or province. Include postal code where appropriate. Country	
7 Mailing address (if different from above) Postal address City or town, state or province. Include postal code where appropriate. Country	

For Paperwork Reduction Act Notice, see separate instructions.

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• Page 2 – Part I

Part I Identification of Beneficial Owner (continued)	
8 U.S. taxpayer identification number (TIN), if required	
9a GIIN	b Foreign TIN ABN no.
c Check if FTIN not legally required. <input type="checkbox"/>	
10 Reference number(s) (see instructions) AETOS acct no.	

Note: Please complete remainder of the form including signing the form in Part XXX.

(Continued on the next page)

Step 2 Company – Not for Profit (NFP)

• Page 2 – Part III

Part III Claim of Tax Treaty Benefits (if applicable). (For chapter 3 purposes only.)	
14	I certify that (check all that apply):
a	<input checked="" type="checkbox"/> The beneficial owner is a resident of <u>country name</u> within the meaning of the income tax treaty between the United States and that country.
b	<input checked="" type="checkbox"/> The beneficial owner derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits. The following are types of limitation on benefits provisions that may be included in an applicable tax treaty (check only one; see instructions):
	<input type="checkbox"/> Government <input type="checkbox"/> Tax-exempt pension trust or pension fund <input checked="" type="checkbox"/> Other tax-exempt organization <input type="checkbox"/> Publicly traded corporation <input type="checkbox"/> Subsidiary of a publicly traded corporation <input type="checkbox"/> Company that meets the ownership and base erosion test <input type="checkbox"/> Company that meets the derivative benefits test <input type="checkbox"/> Company with an item of income that meets active trade or business test <input type="checkbox"/> Favorable discretionary determination by the U.S. competent authority received <input type="checkbox"/> No LOB article in treaty <input type="checkbox"/> Other (specify Article and paragraph): _____
c	<input type="checkbox"/> The beneficial owner is claiming treaty benefits for U.S. source dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation and meets qualified resident status (see instructions).
15	Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 14a above to claim a _____ % rate of withholding on (specify type of income): _____ Explain the additional conditions in the Article the beneficial owner meets to be eligible for the rate of withholding: _____ _____ _____


• Page 7 – Part XXII

Part XXII Nonprofit Organization	
36	<input checked="" type="checkbox"/> I certify that the entity identified in Part I is a nonprofit organization that meets the following requirements: • The entity is established and maintained in its country of residence exclusively for religious, charitable, scientific, artistic, cultural or educational purposes; • The entity is exempt from income tax in its country of residence; • The entity has no shareholders or members who have a proprietary or beneficial interest in its income or assets; • Neither the applicable laws of the entity's country of residence nor the entity's formation documents permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or noncharitable entity other than pursuant to the conduct of the entity's charitable activities or as payment of reasonable compensation for services rendered or payment representing the fair market value of property which the entity has purchased; and • The applicable laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to an entity that is a foreign government, an integral part of a foreign government, a controlled entity of a foreign government, or another organization that is described in this part or escheats to the government of the entity's country of residence or any political subdivision thereof.

• Page 8 – Part XXX

Signed by the authorized representative(s) of the company

Please note: If you are unable to save an E-sign with a time&date stamp and the E-sign statement, the U.S. IRS requests a handwritten signature for the form instead.

Part XXX Certification	
Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:	
<ul style="list-style-type: none"> The entity identified on line 1 of this form is the beneficial owner of all the income or proceeds to which this form relates, is using this form to certify its status for chapter 4 purposes, or is submitting this form for purposes of section 6050W or 6050Y; The entity identified on line 1 of this form is not a U.S. person; This form relates to: (a) income not effectively connected with the conduct of a trade or business in the United States, (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an income tax treaty, (c) the partner's share of a partnership's effectively connected taxable income, or (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f); and For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions. 	
Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.	
I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.	
<input checked="" type="checkbox"/> I certify that I have the capacity to sign for the entity identified on line 1 of this form.	
Sign Here  Digitally signed by Quan Yuan Date: 2021.11.04 11:53:59 +11'00'	Quan Yuan Print Name
	11-04-2021 Date (MM-DD-YYYY)

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Please note that you have an obligation under US tax laws to provide information necessary for US tax withholding and reporting requirements. This information is for reference purposes only, and reliance on the information contained herein is not an alternative to legal advice from an attorney or other professional legal/accounting services provider. This information is not intended as a financial or an investment advice and must not be construed as such. Seek advice from a separate financial advisor or contact IRS. You are advised to view the IRS instructions on completing these forms go to www.irs.gov/formw8ben. AETOS assumes no liability for errors, inaccuracies or omissions; does not warrant the accuracy, completeness of the information or other items contained within these materials.

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